



PATENT  
Our Docket: P-PM 4978

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of: )  
Rose et al. ) Examiner: Not yet assigned  
Serial No.: 10/087,188 )  
Filed: February 28, 2002 ) Group Art Unit: 3736  
For: METHODS OF DIAGNOSING ) I hereby certify that this correspondence is being de-  
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By Andrea L. Gashler  
Andrea L. Gashler, Reg. No. 41,029

Andrea L. Gashler, Reg. No. 41,029

June 17, 2002  
Date of Signature

Siri

**TRANSMITTAL**

Responsive to the NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION mailed April 18, 2002, the following  
are provided:

X (1) a copy of the NOTICE;

X (2) a copy of the sequence listing in computer readable form;

X (3) Communication Regarding Sequence Listing;

X (4) paper copy of sequence listing, pages 1 through 8;

X (5) a statement under 37 CFR §1.821(f) and (g);

Please charge any additional fees or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date: June 17, 2002

Andrea L. Hassemer

Andrea L. Gashler  
Registration No. 41,029  
Telephone: (858) 535-9001  
Facsimile: (858) 535-8949

CAMPBELL & FLORES LLP  
4370 La Jolla Village Drive, 7<sup>th</sup> Floor  
San Diego, California 92122  
USPTO CUSTOMER NO. 23601



Recordation Form Cover Sheet and  
Executed Assignment  
Attorney Docket No.: P-PM 4978  
Serial No.: 10/087,188

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Andrea L. Gashler, Reg. No. 41,029

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Paper copy of Sequence Listing,  
pages 1 through 8  
Attorney Docket No.: P-PM 4978  
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\_\_\_\_\_  
Date of Signature June 17, 2002



Marked ~~as~~ version of originally  
filed Specification  
Attorney Docket No.: P-PM 4978  
Serial No.: 10/087,188



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1087188-062102

#4

## UNITED STATES PATENT AND TRADEMARK OFFICE

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/087,188         | 02/28/2002          | Steven L. Rose        | P-PM 4978              |

23601

CAMPBELL & FLORES LLP  
4370 LA JOLLA VILLAGE DRIVE  
7TH FLOOR  
SAN DIEGO, CA 92122

06/26/2002 SSESME1 0000043 10087188

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|-----------|--------|----|
| 01 FC:201 | 370.00 | OP |
| 02 FC:203 | 513.00 | OP |
| 03 FC:202 | 168.00 | OP |
| 04 FC:204 | 140.00 | OP |
| 05 FC:205 | 65.00  | OP |

## CONFIRMATION NO. 8656

## FORMALITIES LETTER



\*OC000000007910956\*

Date Mailed: 04/18/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1642.
  - \$1026 for 57 total claims over 20.
  - \$336 for 4 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2512.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- A substitute specification in compliance with 37 CFR 1.52 because:
  - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention

is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

**For questions regarding compliance to these requirements, please contact:**

- **For Rules Interpretation, call (703) 308-4216**
- **To Purchase PatentIn Software, call (703) 306-2600**
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*A copy of this notice **MUST** be returned with the reply.*



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